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J1094 U.S. PTO

12-07-01 A

NON-PROVISIONAL  
UTILITY PATENT APPLICATION  
TRANSMITTAL - 37 CFR 1.53(b)

[ ] Duplicate  
(check, if applicable)

Assistant Commissioner for Patents  
**BOX PATENT APPLICATION**  
Washington, DC 20231

Attorney Docket No. 048626-5003-05  
First Named Inventor: Redei  
Express Mail No.: EL71936577US  
Total Pages of Transmittal Form: 2

10/004970  
12/03/01

Transmitted herewith for filing is the non-provisional utility patent application entitled:

**ANTIDEPRESSANT EFFECTS OF CORTICOTROPIN RELEASE INHIBITING FACTOR**

which is:

- an [ ] Original; or  
a [ ] Continuation, [X] Divisional, or [ ] Continuation-in-part (CIP)  
of prior Application No. 09/366,981 filed August 4, 1999.  
Anticipated Group/Art Unit: \_\_\_\_\_ or Class \_\_\_\_\_, Subclass \_\_\_\_\_.  
[X] This non-provisional patent application is based on Provisional Patent Application No. 60/140,962, filed  
June 24, 1999.

Enclosed are:

- [X] Specification (including Abstract) and claims: 30 pages.  
[ ] Application Data Sheet.  
[ ] Newly executed/unexecuted Declaration (original/copy).  
[X] Copy of Declaration from prior application.  
[ ] Separate Power of Attorney (including 37 CFR 3.73(b) statement, if applicable).  
[5] sheets of drawings (formal) plus one copy.  
[ ] Microfiche computer program (Appendix).  
[X] Nucleotide and/or Amino Acid Sequence Submission, including:  
[ ] Computer readable copy [X] Paper Copy [ ] Verified Statement.

Please transfer the diskette version of the Sequence Listing from the parent application  
to the present application.

- [ ] Under PTO-1595 Cover Sheet, an assignment of the invention  
[X] Name of Assignee: Northwestern University  
[ ] Certified copy(ies) of \_\_\_\_\_ Application No(s). \_\_\_\_\_ filed \_\_\_\_\_ is/are filed:  
[ ] herewith or [ ] in prior application &@.  
[X] Applicant(s), by its/their undersigned attorney, claim(s) Small Entity Status under 37 C.F.R.  
§1.27 as [ ] an Independent Inventor, or [ ] a Small Business Concern, or [X] a Non-Profit  
Organization.  
[X] Preliminary Amendment.  
[X] Information Disclosure Statement and PTO-1449. Copies of the references cited are not  
included as they have been filed in the parent application.  
[ ] Request for Nonpublication of Application Under 35 U.S.C. §122(b)

Other:

The filing fee is calculated as follows:

			SMALL ENTITY				LARGE ENTITY	
CLAIMS	NO. FILED	NO. EXTRA	BASIC FEE: \$370				BASIC FEE: \$740	
Total	12-20 =	0	X9	\$	OR	X18	\$	
Independent	2-3=	0	X42	\$	OR	X84	\$	
<input type="checkbox"/> Multiple Dependent Claims Present			\$140	\$	OR	\$280	\$	
			<b>TOTAL</b>	<b>\$ 370.00</b>	OR	<b>TOTAL</b>	<b>\$</b>	

- The Commissioner is not authorized to charge the filing fee at this time as we elect to defer payment of the entire filing fee until receipt of a Notice to File Missing Parts.
- A check in the amount of \$370.00 to cover the filing fee is enclosed.
- The Commissioner is hereby authorized to charge and/or credit **Deposit Account No. 50-0310 (Billing No. 048626-5003)** as noted below.
- Any overpayments or deficiencies in the above-calculated fee.
  - Filing fee in the amount of \$ \_\_\_\_\_ as calculated above.
  - Any additional fees required under 37 C.F.R. § 1.16 and § 1.17.
  - In the event that a Petition for Extension of Time is required during the prosecution of this application, but not submitted, please charge any extension fee under 37 C.F.R. § 1.136(a) to our Deposit Account noted above.

**CORRESPONDENCE ADDRESS:**

December 3, 2001  
(Date)

By:

Kathryn Doyle

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Enclosures